

*Schedule to
Self-Employed Person Participation Agreement
(and CRS Self-Certification)
自僱人士參與協議
(及共同匯報標準的自我證明)*

Note 注意：

- (1) Please complete this Schedule carefully. The information in this Schedule will form part of the Participation Agreement.
請小心填寫此附表。本附表內資料將構成參與協議中的部份細則。
- (2) Any amendments should be clearly marked and counter-signed. Please do not use correction fluid.
如有任何資料刪改請清楚註明及必須加簽，請避免使用塗改液刪改資料。
- (3) Please provide a certified true copy of Hong Kong Identity Card and a copy of the Business Registration Certificate along with this Agreement.
請將附簽署核實之香港身份證副本及商業登記證副本連同本協議書一併遞交。
- (4) Should you have any questions when completing this Form, please feel free to contact Manulife MPF Members' Direct at 2298 9000.
如閣下於填表時有任何疑問，請致電宏利強積金成員專線 2298 9000。

(Please ✓ the appropriate box 請在適當的空格填上 ✓ 號)

Part I – Self-Employed Person Details 第一節 – 自僱人士資料 (Compulsory field 必填之欄)				
Name ¹ 姓名 ¹ (English 英文)		(Chinese 中文)		
<input type="checkbox"/> Mr. 先生	Surname 姓 _____			
<input type="checkbox"/> Ms. 女士	First Name 名 _____			
<input type="checkbox"/> HKID Card No. 香港身份證號碼		<input type="checkbox"/> Passport No. 護照號碼 (Only for person without HKID card 只適用於並未持有香港身份證之人士)		
Date of Birth ² 出生日期 ² (DD / MM / YYYY 日 / 月 / 年)		Nationality 國籍		
Telephone No. 電話號碼	Country Code 國家號碼	Area Code 地區號碼	Phone No. 電話號碼	Ext. 內線
Local Mobile 本地手提			_____	
Business 辦公室			_____	_____
Residential 住宅			_____	
Fax No. 傳真號碼			_____	
China / Overseas 中國 / 海外	_____	_____	_____	_____ - _____
Email Address* 電郵地址* _____				
Country / Jurisdiction of Tax Residency 稅務居民所在國家 / 司法管轄區				
Please put a "✓" in the following box as appropriate 如適用，請在下面的方格填上「✓」。				
I hereby declare that, to the best of my knowledge and belief 以本人所知及所信，在此聲明：				
My Tax Residence is 本人之稅務居住地為				
<input type="checkbox"/> Hong Kong ONLY with no tax residence in any other jurisdictions or countries (and my HKID number is my Taxpayer Identification Number (TIN) as Hong Kong tax resident). 只有香港，及沒有處於任何其他司法管轄區或國家的稅務居住地(而本人的香港身份證號碼是本人作為香港稅務居民的稅務編號)。				
[If the box above does not apply, please proceed to Part V which MUST be filled in for tax residence of either (a) Hong Kong and also some other jurisdictions or countries or (b) not Hong Kong, but instead some other jurisdictions or countries. 如果上面的方格不適用，請填寫第五節。該部份為稅務居住地是(甲)香港及其他司法管轄區或國家或(乙)不是香港而是其他司法管轄區或國家的稅務居民必須填寫的部份。]				
This Part I, particularly the personal information (including name, HKID card no., date of birth and address(es)) provided herein and the declaration regarding Country / Jurisdiction of Tax Residency, forms part of the "Self-Certification" referred to in Part V. Please, in that regard, note the Important Notes stated in Part V. 此第一節所提供的個人資料(包括姓名、香港身份證號碼、出生日期及地址)及稅務居民所在國家 / 司法管轄區聲明，及構成第五節「自我證明」的一部分。就此，請細閱第五節中的重要提示。				

¹ Must be identical to that on the Hong Kong Identity Card. 必須與香港身份證上之姓名相符。

² The full and accurate Date of Birth provided is very important. If you select the Default Investment Strategy as your Investment Mandate, the Date of Birth will be used for calculating your age band with reference to the pre-set allocation percentages as shown in the DIS de-risking table for annual de-risking execution. 提供完整及準確的出生日期是非常重要的。如選擇預設投資策略作為閣下的投資分配指示，閣下的出生日期將被用作計算閣下的年齡，並按照預設投資降低風險列表的投資分配百分比執行每年降低風險安排。

+ Please provide one email address only. 請只填寫一個電郵地址。

Part I – Self-Employed Person Details 第一節 – 自僱人士資料 (Compulsory field 必填之欄) (Continued 續)

Residential Address[#] (“In-care-of” address and P.O. Box address will not be accepted. Correspondence will be sent to the following address, when necessary.)
住址[#] (「轉交」地址及郵政信箱恕不接受。如有需要，通訊將寄往以下地址。)

Flat / Room 室 _____ Floor 樓 _____ Block 座 _____

Building / Estate Name 大廈 / 屋苑名稱 _____

Number & Name of Street 街道號碼及名稱 _____ District 地區 _____

Hong Kong 香港 Kowloon 九龍 New Territories 新界 Overseas (Country and City)[▽] 海外 (國家及城市)[▽]

China 中國 _____ (City 城市)

Others 其他 (Please specify 請說明)

_____ (Country 國家) _____ (City 城市)

[▽] For overseas address 適用於海外地址

Business Registration Number 商業登記號碼

Effective Date of Participation in the Master Trust
參與計劃生效日期

1st December 2000 2000年12月1日

Others 其他 _____ (DD / MM / YYYY 日 / 月 / 年)

Has your Self-Employed Person status started over 60 days?
閣下的自僱人士身份是否已超過 60 天?

Yes 是

No 否

Industry Classification 行業分類

Please fill in the appropriate industry code and classification code with reference to the “Industry Classification Codes” attached.
請參閱隨附之「行業分類編號」，填上適合的行業編號及項目編號。

Industry Code 行業編號 Classification Code 項目編號

[#] Under Section 91(2) of the Mandatory Provident Fund Schemes (General) Regulation, the Trustee is required to maintain a record of each member's residential address.
按強制性公積金計劃(一般)規例第91(2)條，受託人必須紀錄每位成員的住址資料。

Part II – Means of Communication 第二節 – 通訊方式

Please indicate your selection by ticking “✓” the box.
請在方格內加上「✓」號以表示選擇。

Language for future correspondence 日後通訊的語言

English 英文

Traditional Chinese 繁體中文

If preferred language is not selected, English will be used for future correspondence. 如沒有選擇，將以英文作日後通訊之用。

MPF Account Balance SMS Service 強積金戶口結餘短訊提示服務

Receive SMS notification of monthly MPF account balance (Remarks 1 and 2) via the local mobile phone number provided in Part I.
透過第一節所提供之本地手提電話號碼收取每月強積金戶口結餘(備註1及2)短訊提示服務。

Opt in 選擇

Opt out 不選擇

E-notification for MPF statutory communications 強積金法定通訊電子提示

Receive e-notification on communications requested by the Mandatory Provident Fund Schemes Ordinance (“Relevant Communications”) and suppress receiving hardcopies.

以電子提示形式收取按「強制性公積金計劃條例」要求的相關通訊(「有關通訊」)並停止收取列印本。

Statutory communications of your consent to suppress hardcopies 閣下同意不收取列印本的法定通訊：

1. Addendum to MPF Scheme Brochure 強積金計劃說明書的補充文件
2. Notice to members and participating employers 致成員及參與僱主通告
3. Member Benefit Statement 成員權益報表

and any other communications may determine by the Trustee to be appropriate. (Remark 3) 及受託人認為合適的其他通訊。(備註3)

Opt in 選擇

Opt out 不選擇

Part II – Means of Communication 第二節 – 通訊方式 (Continued 續)

Remarks 備註

- The figures shall be calculated by reference to the fund prices as at the last business day of previous month. Information on account balance is for reference only. 數額將根據上月最後一個工作天之基金價格計算。戶口結餘資料僅供參考。
- No SMS account balance will be provided if the MPF balance is less than \$1.00. 若戶口結餘少於 \$1.00，將不會收到「帳戶結餘短訊」。
- By choosing this option, you agree to receive Relevant Communications in electronic form, as we may determine to be appropriate, so that, when we determine to issue to you a Relevant Communication in electronic form, we may not issue it to you in physical form, and vice versa. Relevant Communications refer to all documents, statements or notices issued by us for the purposes of the Mandatory Provident Fund Schemes Ordinance (“MPFSO”) from time to time, including, without limitation, regulatory statements / notifications (such as member benefit statements, notices to members, MPF Scheme Brochure, Addenda to MPF Scheme Brochure and fund performance fact sheets). 選擇此選項即表示閣下同意以電子形式接收(我們認為合適的)有關通訊，因此，當我們決定以電子形式向閣下發出相關通訊時，我們可不以實物形式向閣下發出該相關通訊，反之亦然。如有關通訊是指我們按「強制性公積金計劃條例」(「強積金條例」)不時發出的所有文件、報表或通知，包括但不限於監管報表 / 通知(如成員權益報表、成員通知、強積金計劃說明書、強積金計劃說明書的補充文件及基金表現報告)。
 - Please note that whether or not this option is chosen, communications not for the purposes of the MPFSO may, in any event, be issued by us to you in electronic form only. Such communications include, without limitation, semi-annual benefit statements, switching for existing holdings confirmations, redirection for future contributions confirmation, newsletters, information leaflets and promotional materials. 請注意，不論閣下是否選擇此項，我們只會以電子形式向閣下發出非強積金條例相關的通訊。此通訊包括但不限於半年度成員權益報表、戶口結餘轉換確認書、未來供款投資指示確認書、通訊、單張資料及宣傳品。
 - For the option to be effectively made, please (on top of ticking the box above) provide your contact information for electronic communication, including the email address and mobile phone number required to be filled in above. If you wish to update your contact information for electronic communication, please give us at least 14 days prior notice by submitting your request through Manulife website, by returning the completed Change of Particulars for Member / Self-Employed Person Form, or by calling Manulife MPF Members' Direct at 2298 9000 (and the 14 days will start to run from our actual receipt of your request). 為了令此選項能夠有效實行，請(除剔選以上方格外)提供閣下的電子聯絡資料以作電子通訊之用，包括於上方填寫閣下的電郵地址和手提電話號碼。如果想更新閣下的電子聯絡資料，請在不少於 14 天前透過宏利網站、交回填妥的「更改成員 / 自僱人士資料表格」或致電宏利強積金成員專線 2298 9000 通知我們(該 14 天將從我們收到閣下的指示開始計算)。
 - Please note that the option, when chosen, will apply to all of your accounts under the same plan, including all existing and future accounts and, for the avoidance of doubt, where MPF accrued benefits (benefits) held under a regular employee contribution account are automatically transferred to a new personal account within the same plan after cessation of employment, the option will continue to apply to the new personal account unless otherwise instructed. If you wish to terminate the option, please give us at least 14 days prior notice by submitting your termination notice through Manulife website or by returning the completed Change of Particulars for Member / Self-Employed Person Form (and the 14 days will start to run from our actual receipt of your termination notice). 請注意，選擇此選項將適用於閣下在相同計劃下的所有帳戶，包括所有現有和未來帳戶，並且為免生疑問，此選項將繼續適用於閣下離職時在一般僱員供款帳戶下持有的強積金累算權益(權益)自動轉移到同一計劃內新的個人帳戶(另有指示除外)。如果閣下想終止此選項，請在不少於 14 天前透過宏利網站或交回填妥的「更改成員 / 自僱人士資料表格」提交終止通知書(該 14 天將從我們收到閣下的終止指示開始計算)。

Part III – Contribution Details 第三節 – 供款資料

1. Fees and Charges 費用及收費

Joining Fee³ 參與費用³: HKD 港幣 _____

Class of Unit to be invested into⁴ 投資單位類別⁴: A / B* (*Please circle as appropriate 請將適用者圈出)

2. Frequency of Mandatory Contribution 強積金供款期 (Please ✓ the appropriate box 請在適當空格上填上 ✓ 號)

Yearly, commence on 1 July each year to 30 June next year 每年七月一日至翌年六月三十日

Payment Date 到期供款日: Yearly, By 30 June of each year 每年6月30日或之前

Monthly, commence on the 1st day of each month to the last day of the month⁵ 每月第一天至最後一天⁵

Payment Date 到期供款日: Monthly, By the end of each month 每月最後一天或之前

3. Payment Method 付款方式

By Cash Deposit via Bank or Cheque
經銀行存入現金或支票

By Direct Debit Authorization Service
直接付款授權服務

(Please complete “Self-employed Person Account Direct Debit Authorization Set Up / Change of Direct Debit Account Form” 請填寫「自僱人士帳戶 – 開立直接付款授權書 / 更改直接付款戶口表格」)

4. Relevant Income for the Current Financial Year 本財政年度之入息

Please fill in Declaration of Relevant Income and return it to trustee with a copy of the most recent Notice of Assessment or other supporting materials. 請填寫有關入息之聲明書，並連同最近期的評稅通知書副本或其他證明文件交回受託人。

5. Voluntary Contribution to The Master Trust 自願性供款

I would like to make voluntary contributions to the Master Trust on _____ % of my monthly relevant income / basic salary* or HK\$ _____ for such purpose with effect from the date of my participation in the Master Trust until further notice.
本人希望向此計劃作出額外的自願性供款。供款額為每月有關入息 / 底薪* 的 _____ % 或港幣 _____。此項授權由本人參與此計劃起生效。

* Please circle as appropriate. 請將適用者圈出。

³ Please refer to the latest MPF Scheme Brochure of the Manulife RetireChoice (MPF) Scheme. 請參閱宏利退休精選(強積金)計劃之最新強積金計劃說明書。

⁴ For ongoing contributions investing into the specific class of constituent fund. 日後持續的供款投資於成份基金內的指定類別。

⁵ Should you want to change the contribution period, please feel free to contact Manulife MPF Members' Direct at 2298 9000. 如欲更改供款期，請致電宏利強積金成員專線 2298 9000。

Part IV – Investment Mandate 第四節 – 投資指示

Contributions and transfer-in assets (if any) shall be invested in the following manner¹:
 供款及轉移自原有計劃的資產(如有)按下列比例投資¹：

Name of Constituent Funds 基金名稱		Investment Allocation ³ (Must be an integer) 投資分配 ³ (必須為整數)	
		Mandatory Portion 強制性供款部份	Voluntary Portion (if applicable) 自願性供款部份(如適用)
DIS	Default Investment Strategy 預設投資策略		
RBO	Allianz Hong Kong Fund 安聯香港基金		
RBU	Allianz Greater China Fund 安聯大中華基金		
RBI	Allianz Asian Fund 安聯亞洲基金		
RBT	Allianz Oriental Pacific Fund 安聯東方太平洋基金		
RBG	Allianz Growth Fund 安聯增長基金		
RBB	Allianz Balanced Fund 安聯均衡基金		
CAF	Allianz MPF Core Accumulation Fund (No automatic de-risking features) 安聯強積金核心累積基金(沒有自動降低投資風險特性)		
RBS	Allianz Stable Growth Fund 安聯穩定增長基金		
RBC	Allianz Capital Stable Fund 安聯穩定資本基金		
65F	Allianz MPF Age 65 Plus Fund (No automatic de-risking features) 安聯強積金65歲後基金(沒有自動降低投資風險特性)		
RBR	Allianz Flexi Balanced Fund 安聯靈活均衡基金		
RBM	Allianz RMB Money Market Fund 安聯人民幣貨幣市場基金		
RBP	Allianz MPF Conservative Fund 安聯強積金保守基金		
Total 總數 (%)		100%	100%

Note 注意：

- The investment mandate indicated above do not apply to the MPF asset transferred within the same scheme. If the MPF asset transfer-in is from another account under Manulife RetireChoice (MPF) Scheme (i.e. transfer within the same scheme), the fund allocation of such asset will remain unchanged until fund switching instruction is received from you. 以上設定之投資分配指示不適用於同一計劃內的強積金資產轉移。若強積金資產是由宏利退休精選(強積金)計劃的另一個帳戶轉入(即屬同一計劃內作出轉移)，該筆資產的基金分布將維持不變，直至閣下另行作出基金轉換指示為止。
- Please indicate your investment mandate for each of the Mandatory Portion and Voluntary Portion in the two columns provided above. Every portion can have an individual investment mandate. If you do not wish to choose an investment option, you do not have to do so, but if no investment mandate is specified in any portion, or if what is specified is not a valid investment mandate, (or is regarded to be not as a valid investment mandate), all future contributions or transfer-in asset to the respective account will be 100% invested into the Default Investment Strategy ("DIS"). The DIS is not a fund; it is a strategy that uses two funds (i.e. Allianz MPF Core Accumulation Fund and Allianz MPF Age 65 Plus Fund) to manage investment risk exposure by automatically reducing the exposure to higher risk assets and correspondingly increasing the exposure to lower risk assets as you approach your retirement age. In general, the de-risking of investment in DIS will be automatically carried out each year on your birthday, when you are at the age from 50 to 64. For details, you may refer to the DIS information at www.manulife.com.hk. For your fund choice combination, you are free to choose to invest into the DIS and / or one or more constituent funds from the list above (including Allianz MPF Core Accumulation Fund and Allianz MPF Age 65 Plus Fund as standalone investments). 請於上列兩欄的個別欄位清楚填寫閣下的「強制性」及「自願性」供款部份之投資分配指示，每個供款部份可以有不同的投資分配指示。若不願意提供投資選擇，閣下可選擇不提供，但如閣下就個別供款部份沒有填上投資分配指示，或若其指定的指示並非有效的投資分配指示(或被視作並非有效的投資分配指示)，該供款部份日後的所有供款或轉入資產，將100%投資於預設投資策略(「預設投資」)。預設投資並不是一個投資基金；而是一個透過利用兩個投資基金(即安聯強積金核心累積基金及安聯強積金65歲後基金)去管理投資風險的策略。它會在閣下接近退休年齡前自動減持高風險的資產及增持低風險的資產。預設投資的降低投資風險安排一般會在閣下50至64歲間，每年的生日當天執行。詳情可參照於www.manulife.com.hk的預設投資資訊。於基金選擇組合內，閣下可自由選擇投資於預設投資及 / 或上列一個或多個成份基金(包括作為單獨投資的安聯強積金核心累積基金及安聯強積金65歲後基金)。
- A valid Investment Mandate for either the Mandatory Portion or the Voluntary Portion must be such that (a) each Investment Allocation Percentage is specified as an integer, i.e. a whole number, of at least 1%, and (b) all of the Investment Allocation Percentages add up to 100% in total. If an Investment Mandate does not comply with such requirements including, but not limited to cases where any Investment Allocation Percentage is specified not as an integer of at least 1% or all of the Investment Allocation Percentages add up to more than 100% in total, the Investment Mandate will be regarded as invalid. Where what has been specified is regarded as an invalid investment mandate, all future contributions or transfer-in asset to the respective account will be 100% invested into the DIS. If all of the Investment Allocation Percentages add up to less than 100% in total, you would be regarded as not having given a valid Investment Mandate in respect of the shortfall, and the contributions / assets corresponding to such shortfall will be invested into the DIS. 強制性供款部份及自願性供款部份的有效投資分配指示必須為 (a) 每個投資分配的百分比須以至少1%的整數(即完整的數目)表示，及 (b) 全部投資分配的百分比總和等於100%。若投資分配指示並未符合上述要求，包括但不限於任何投資分配的百分比並非至少1%的整數或全部投資分配的百分比總和超過100%，則該投資分配指示將被視作無效。若指定的投資分配指示被視作為並非有效的投資分配指示，該供款部份日後的所有供款或轉入資產，將100%投資於預設投資。若全部投資分配的百分比總和少於100%，閣下將被視作未就差額部份作出有效的投資分配指示，相當於差額部份的供款 / 資產將被投資到預設投資。

Part V – Tax Residency Self-Certification 第五節 – 稅務居民身份自我證明

Important Notes 重要提示：

- This Part V, together with other parts, sections and items of this form stated as such (including (a) those stated as such in Part I of this form and (b) the relevant parts, sections and items of Part VI below (including the relevant acknowledgment, undertaking and certification, and the signature section (and the warning underneath))), constitute the self-certification provided by you to Bank Consortium Trust Company Limited (“BCTC”) for the purpose of Automatic Exchange of Financial Account Information (“AEOI”) in compliance with tax law and regulations (including but not limited to the Inland Revenue Ordinance (Cap.112) and regulations based on the Organisation for Economic Co-operation and Development (OECD) Common Reporting Standard (CRS) for automatic exchange of information) (“Self-Certification”). The data collected may be transmitted by BCTC to the Inland Revenue Department for transfer to the tax authority of another country / jurisdiction.
此第五節，與本表格內具有同樣闡述的其他部分、章節及項目(包括(a)本表格內第一節裏及(b)以下第六節裏的具有同樣闡述的那些部分、章節及項目(包括有關的確認、承諾及證明，及簽署的部分(和在其下的警告)))將構成閣下向銀聯信託有限公司(「銀聯信託」)提供的自我證明的部分，作為自動交換財務帳戶資料(「AEOI」)用途以遵守稅務法律及規例(包括但不限於《稅務條例》(第112章)和根據自動交換資料有關的經濟合作與發展組織(OECD)《共同匯報標準》(CRS)的規則(「自我證明」)。銀聯信託可把收集所得的資料交給稅務局，稅務局會將資料交到另一國家 / 司法管轄區的稅務當局。
- This Self-Certification will remain valid unless there is any change in circumstances relating to your status of tax residency. You must notify BCTC within 30 days if there is any change in circumstances that makes any of the information provided in the Self-Certification incorrect or incomplete and provide an updated Self-Certification.
除非閣下的稅務居民身份有任何改變，否則此自我證明將被視為有效。如情況有所改變，以致本自我證明所載的資料不正確或不完整，閣下必須在改變後的30天內通知銀聯信託有關的改變並提供最新的自我證明。
- BCTC **MUST** obtain the complete and valid tax residency self-certification for the setting up of member record. To avoid any delay in the setting up of member record and contribution settlement (if any), please read and complete all the appropriate parts of this form (particularly those stated as forming parts of the Self-Certification).
銀聯信託在開立成員帳戶前，**必須**取得完整及有效的稅務居民身份自我證明。為避免成員帳戶開立及供款處理(如有)有任何延誤，請細閱並完成所有適用部分(尤其是那些將構成自我證明的部份)。
- All relevant identification / verification documentation for AEOI / CRS purposes should be provided to BCTC upon request. Failure to provide us with the information and other personal data as requested may result in your application / instruction not being able to be processed.
銀聯信託有權要求閣下提供就AEOI / CRS的目的所有相關的身份證明 / 驗證文件。如未能提供所需資料及其他個人資料，可能導致閣下的申請 / 指示不獲處理。
- As a financial institution, BCTC is not allowed to give tax or legal advice. If you have any questions regarding your tax residency, please consult your tax adviser or visit the OECD and Inland Revenue Department's AEOI website at <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/> and http://www.ird.gov.hk/eng/tax/dta_aeoi.htm respectively, or simply scan the QR code, for more CRS and related information.

作為財務機構，銀聯信託不獲允許提供稅務或法律意見。若閣下對閣下的稅務居民身份存有任何疑問，請詢問專業稅務顧問或瀏覽OECD (<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/>) 及稅務局 (http://www.ird.gov.hk/chi/tax/dta_aeoi.htm) 有關AEOI的網頁，或掃描此二維碼，以獲取更多CRS及相關資料。



OECD



IRD (稅務局)

Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent (“TIN”) 居留司法管轄區及稅務編號或具有等同功能的識辨編號(以下簡稱「稅務編號」)

Please list all countries / jurisdictions (including Hong Kong (where applicable)) where you are a resident for tax purposes and Taxpayer Identification Number or its Functional Equivalent (TIN) for each country / jurisdiction. If the space provided is insufficient, please provide it in the below format on additional sheet(s).

請在以下列明閣下作為稅務居民的所有國家 / 司法管轄區(包括香港(如適用))及相關的稅務編號或具有等同功能的識辨編號(稅務編號)。如下列位置不敷應用，請按以下格式另加新頁。

Country / Jurisdiction of Tax Residency 稅務居民所在國家 / 司法管轄區	TIN ^{Remarks 1} 稅務編號 ^{註1}	If no TIN available, please indicate Reason A, B or C below ^{Remarks 2} 若未能提供稅務編號，請於下方填上理由A、B或C ^{註2}	Please explain why you are unable to obtain a TIN if you selected Reason B. 若閣下選擇理由B，請在下方解釋無法取得稅務編號的原因。
1			
2			
3			
4			
5			

Remarks 註：

- If you are PRC Resident Identity Card holder, the TIN is the PRC Resident Identity Card Number.
若閣下是中華人民共和國居民身份證持有人，稅務編號為閣下中華人民共和國居民身份證號碼。
If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number.
如帳戶持有人是香港稅務居民，稅務編號是其香港身份證號碼。
- Reason A – The country / jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.
理由A – 帳戶持有人所屬的稅務居民的國家 / 司法管轄區沒有向其居民發出稅務編號。
Reason B – The account holder is unable to obtain a TIN. (Please explain why you are unable to obtain TIN in the above table if you have selected this reason.)
理由B – 帳戶持有人無法獲得稅務編號。(若閣下選擇這理由，請在上表解釋閣下無法獲得稅務編號的原因。)
Reason C – No TIN is required. (Note: Only select this reason if the authorities of the relevant jurisdiction of residence do not require the TIN to be disclosed.)
理由C – 無需稅務編號。(註：只有在相關司法管轄區的主管機關不需要披露該司法管轄區發出的稅務編號方可選擇這理由。)

Part VI – Declarations 第六節 – 聲明

1. I have read and understood the MPF Scheme Brochure of the Manulife RetireChoice (MPF) Scheme (the "Master Trust") which accompanies this Schedule and understand that the Agreement is made on the basis of and will be subject to the terms of the Trust Deed for the Master Trust. 本人已閱悉及了解隨此協議書附上之宏利退休精選(強積金)計劃(「本計劃」)的強積金計劃說明書，並明白本協議書乃根據強積金計劃說明書上所載資料而作出及將受本計劃之信託契約條款所規限。
2. I understand that the Trustee may not be able to process this application if I fail to provide any information requested in this Schedule. 本人明白倘若本人未能提供此附表所需的資料，受託人將可能無法處理有關申請。
3. I hereby declare that the amount of relevant income indicated in Part III item 4 above equal to my assessable profits for the previous year calculated in accordance with the Inland Revenue Ordinance and all other information in this Schedule is correct. I undertake to notify the Trustee as soon as possible of any changes to the information contained in this Schedule. 本人謹此聲明，於第三節第四項所載之入息與按照稅務條例規定計算出的上年度收益相符，以及此附表所載的其他資料均屬正確無訛。本人承諾如此附表所載資料有任何更改，將盡早通知受託人。
4. I hereby agree to indemnify the Trustee against any actions, proceedings, claims, losses, damages, costs or expenses which may be brought against the Trustee or suffered or incurred by the Trustee arising either directly out of or in connection with the Trustee accepting facsimile instructions or e-mail instructions from us and acting thereon, whether or not the same are confirmed by us in writing, unless due to the wilful default or gross negligence of the Trustee. 本人同意受託人因直接或就接受由本人發送之傳真指示或電郵指示及根據指示處理有關事宜(無論是否經我們以書面確認)而引致受託人被提出或蒙受或產生的任何法律行動、訴訟、索償、損失、損害、費用或開支(惟若因受託人故意失責或嚴重疏忽而產生者則除外)向受託人作出賠償。

Notwithstanding the previous paragraph, the trustee has the right to determine which Forms or other documents of instructions may or may not be accepted by facsimile or e-mail. 不論前段有何規定，受託人有權決定何種表格或指示可經傳真或電郵接受與否。
5. I acknowledge and agree that (a) the information contained in the parts of this form constituting the Self-Certification is collected and may be kept by BCTC for the purpose of AEOI, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by BCTC to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another country / countries and / or jurisdiction(s) in which the account holder may be resident for tax purposes pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112), and (c) I agree to the obligation that the account holder must comply with requests made by BCTC to comply with the CRS (AEOI) requirements under the Inland Revenue Ordinance and / or applicable law and regulation, and such obligation forms the basis of the account to be opened. 本人確認及同意，銀聯信託可根據《稅務條例》(第112章)有關交換財務帳戶資料的法律條文，(a) 收集本表格構成自我證明的部份所載資料並可備存作AEOI用途及(b) 把該等資料和關於帳戶持有人及任何須申報帳戶的資料向香港特別行政區政府稅務局申報。從而把資料轉交到帳戶持有人的國家及 / 或司法管轄區的稅務當局及(c) 本人同意帳戶持有人必須遵守銀聯信託的要求以便遵守《稅務條例》及 / 或適用法律及規例的CRS (AEOI) 規定，並為日後開立帳戶之基礎。
6. I undertake to advise BCTC of any change in circumstances which affects the tax residency status of the individual identified in the parts of this form constituting the Self-Certification or causes the information contained herein to become incorrect or incomplete, and to provide BCTC with a suitably updated Self-Certification within 30 days of such change in circumstances. 本人承諾，如情況有所改變，以致影響本表格構成自我證明的部份所述的個人的稅務居民身份，或引致本自我證明所載的資料不正確或不完整，本人會通知銀聯信託，並會在情況發生改變後30日內，向銀聯信託提交一份已適當更新的自我證明表格。
7. I certify that I am the account holder of all the account(s) to which this form relates and / or currently held with BCTC (if any). 本人證明，就與本表格所有相關的帳戶及 / 或現於銀聯信託持有的帳戶(如有)，本人是帳戶持有人。
8. I declare that to the best of my knowledge and belief, the information given and statement made in this form and / or its attachment(s), if any, is true, correct and complete. 本人聲明，盡本人所知及所信，本表格及隨附文件(如有)所提供的資料和聲明均屬真實、正確無訛且無缺漏。

Part VI – Declarations 第六節 – 聲明 (Continued 續)

9. I agree that the personal data provided by or in respect of Members and Participating Employers of the Master Trust (concerning application records and operational records and / or their dealing / transaction details records) will only be accessed and handled by properly authorised staff of Bank Consortium Trust Company Limited ("BCT", the trustee of the Master Trust), Manulife (International) Limited, ("Sponsor", the sponsor of the Master Trust) and their properly authorised service providers, employees, officers, directors and agents, and auditors of the Master Trust, and may be used, disclosed and / or transferred (whether in or outside Hong Kong) to such persons as BCT, the Sponsor or any of their service providers may consider necessary, including governmental authorities and regulators, for any of the following purposes: (i) exercising or performing the functions conferred or imposed by or under or for the purposes of the MPFSO; (ii) providing services in respect of Mandatory Provident Fund and the Master Trust including the processing, administering, managing, and analysing of their, as the case may be, contributions, benefits and portfolios and (where consent or indication of no objection has been obtained) direct marketing of Mandatory Provident Fund services; (iii) improving the provision of Mandatory Provident Fund services by BCT to customers generally (including the facilitation of the provision of Mandatory Provident Fund services to enable the customers of BCT generally to access Mandatory Provident Fund (or other) account details, for example, through the internet; (iv) compliance with applicable laws and regulations, and court order and / or (v) any other purposes for the exercise or performance of the above mentioned functions. If there is any change in the information provided, BCT should be notified as soon as practicable. Failure to provide the information requested may result in BCT being unable to process the instructions. All such information may be retained after I have ceased to participate in the Master Trust.

I have a right to request access to and correction of any personal data or to request that personal data about them not be used for direct marketing purposes. Requests can be made in writing to the Data Protection Officer at BCT, 18/F Cosco Tower, 183 Queen's Road Central, Hong Kong. Under the Personal Data (Privacy) Ordinance^{Remark 1} I have the right to obtain a copy of information held about myself and for which I may be charged a fee.

本人同意由此計劃的成員及參與僱主所提供或相關之個人資料(有關申請及運作記錄)及 / 或他們的買賣 / 交易細節記錄僅供銀聯信託有限公司(「銀聯信託」, 此計劃之受託人)、宏利人壽保險(國際)有限公司(「保薦人」, 此計劃之保薦人)及它們正式授權之服務供應商、僱員、主任、董事及代理及此計劃之核數師使用及處理, 及在銀聯信託、保薦人或其任何服務供應商認為有需要時, 或會被使用、披露及 / 或轉移(在香港境內或境外)予個別人士, 包括政府機關及監管機構作以下任何之目的: (一)行使或執行強積金條例下所授予或施加之職能或根據該條例的目的而行使或執行職能; (二)提供強制性公積金及本計劃的服務包括處理、掌管、管理及分析供款、權益及投資組合, 視乎情況而定, 及(若取得同意或不反對指示)直銷強制性公積金服務; (三)改善銀聯信託提供予客戶一般之強制性公積金服務(包括協助提供強制性公積金服務以令銀聯信託之客戶可經例如互聯網處理強制性公積金(或其他)戶口資料); (四)遵守適用之法律及規例及法院命令及 / 或(五)任何以行使或執行上述職能作目的之用途。如所提供資料有所變更, 本人應在可行的情況下儘快通知銀聯信託。未能提供所需資料可能導致銀聯信託不能處理有關指示。在本人停止參與本計劃後, 受託人仍可保留上述所有資料。

本人有權要求查閱或更改任何個人資料或要求個人資料不被用作直銷之用。可以書面聯絡銀聯信託之資料保護主任, 香港皇后大道中 183 號中遠大廈 18 樓。根據個人資料(私隱)條例^{備註 1}, 本人有權在支付費用的情況下, 索取一份有關本人個人資料的副本。

^{Remark 1} You are entitled under the Personal Data (Privacy) Ordinance to be informed by Bank Consortium Trust Company Limited whether it holds Personal Data about you and to request access to and / or correction of any such Personal Data. Any such request may be made in writing to Data Protection Officer at Bank Consortium Trust Company Limited, 18/F Cosco Tower, 183 Queen's Road Central, Hong Kong.

^{備註 1} 按個人資料(私隱)條例, 閣下有權要求銀聯信託有限公司提供是否持有閣下的個人資料, 或要求接觸和 / 或更改任何個人資料。此類要求, 可以書面形式向資料保護主任查詢。請郵寄香港皇后大道中 183 號中遠大廈 18 樓, 銀聯信託有限公司資料保護主任收。

10. Personal Information Collection Statement:

- The Sponsor, Manulife (International) Limited, would like to use your personal data (name, residential address, email address and telephone number) collected from you for providing information and direct marketing of products and services relating to Mandatory Provident Fund Schemes offered by itself or by its subsidiaries or associates with the Manulife Group of companies.
- The Sponsor may not use your personal data without your consent for the above purpose.
- Should you find such use of your personal data not acceptable, please indicate your objection before signing by ticking the box below:

I object to the proposed use of my personal data for direct marketing purposes as stated above.

個人資料收集聲明:

- 保薦人宏利人壽保險(國際)有限公司擬將收集所得有關閣下的個人資料(姓名、住址、電郵及電話號碼)用作提供其本身或宏利集團附屬或聯營公司所提供有關強制性公積金計劃的產品與服務的資訊以及為該產品與服務作直銷用途。
 - 未經閣下同意, 保薦人兼投資經理不得使用閣下的個人資料作上述用途。
 - 倘若閣下不接受將閣下的個人資料作上述用途, 請於下列空格內填上「✓」號以示不同意, 然後簽署本表格:
- 本人不同意將本人的個人資料作上述直接促銷用途。

Signature of Self-Employed Person 自僱人士簽署
(This signature will also act as a specimen signature for future correspondence.
日後有關此計劃之簽署, 將以此簽署式樣為準。)

Date 日期

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a Self-Certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. HK\$10,000).

警告: 根據《稅務條例》第 80 (2E) 條, 如任何人在作出自我證明時, 在明知一項陳述在要項上屬具誤導性、虛假或不正確, 或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下, 作出該項陳述, 即屬犯罪。一經定罪, 可處第 3 級(即 HK\$10,000)罰款。

BCT use only 銀聯信託專用:	Document Received Date:	Inputted By:	Checked By:	Remarks:
		Date Inputted:	Date Checked:	

SELF-EMPLOYED PERSON – DECLARATION OF RELEVANT INCOME

To: Pension Services (Manulife RetireChoice)
Bank Consortium Trust Company Limited
18/F Cosco Tower, 183 Queen's Road Central, Hong Kong

I, _____ of HKID Card / Passport No. _____, a participating self-employed person of the Manulife RetireChoice (MPF) Scheme ("the Plan"), hereby confirm my relevant income to the Plan Trustee (Bank Consortium Trust Company Limited) as follows:

Please complete both Section One & Section Two

Section One – Contribution Frequency

(Please only **ONE** option)

I elect to make mandatory contributions to the Plan for the financial year _____ / _____ based on the following frequency:

1. Yearly, commence on 1 July of each year to 30 June next year. Payment date will be on or before the last day of the contribution period.

Or

2. Monthly, commence on 1st day of each month to the last day of the month[#]. Payment date will be on or before the last day of the contribution period.

[#] Should you want to change the contribution period, please contact Manulife MPF Members' Direct at 2298 9000.

Section Two – Confirmation on Relevant Income

(For option 1 to 5, please only **ONE** option)

1. I enclose a copy of the most recent Notice of Assessment of the year _____ to _____ for the calculation of the mandatory contributions amount.

Or

2. I declare my relevant income as equivalent to the maximum level of relevant income as defined in the Mandatory Provident Schemes Ordinance.

Or

3. My income assessment is not available due to the following reason(s):

I do not have previous Notice of Assessment for my business which commenced on _____.

I have been advised by the Inland Revenue Department ("IRD") that I do not need to submit a Tax Return for the previous year. A copy of the letter from IRD is attached.

Others, please specify _____.

I understand that if I cannot provide the most recent Notice of Assessment or other evidence, my relevant income will be presumed to be the same as the Basic Allowance as defined in the Inland Revenue Ordinance.

Or

4. I enclose a copy of the most recent Notice of Assessment; however:

the Notice of Assessment was issued more than 24 months ago,

Or

I have objected under s.64 of the Inland Revenue Ordinance to the Notice of Assessment or have appealed against that notice,

and I declare that my assessable profits for the preceding year is HK\$ _____, and this amount shall be used as my relevant income.

Or

5. I, base on this declaration form or the enclosed evidence, declare that my assessable profit for the preceding year of assessment is HK\$ _____.^{*} I declare that this amount shall be used as my relevant income.

** In an event where the amount declared herein is inconsistent with any evidence submitted, the amount declared herein shall prevail.*

Section Three – Declaration

I declare to the best of my knowledge and belief that the information given in this form and the attachments are true and correct. I agree that the information provided in this form supersedes any inconsistent information containing in the Schedule to the Participation Agreement of the Plan.

Dated this _____ day _____

Signature of the Self-employed Person

Name: _____

Company Code: _____

Should you have any questions when completing this Form, please feel free to contact Manulife MPF Members' Direct at 2298 9000.

BCT use only 銀聯信託專用:	Document Received Date:	Inputted By:	Checked By:	Remarks:
		Date Inputted:	Date Checked:	

自僱人士 – 有關入息之聲明書

致 香港皇后大道中183號中遠大廈18樓
銀聯信託有限公司
退休金服務部(宏利退休精選)

本人 _____，香港身份證 / 護照號碼 _____，為已參與
宏利退休精選(強積金)計劃(「計劃」)之自僱人士，現向計劃受託人(銀聯信託有限公司)確認有關入息之資料如下：

請填寫第一及第二部份

第一部份：供款次數

(請只 其中一項)

本人選擇按以下時間向計劃作出 _____ / _____ 財政年度之供款：

(一) 供款期為每年七月一日至翌年六月三十日。供款日為每個供款期最後一天或以前。

或

(二) 供款期為每月第一天至最後一天[#]。供款日為每個供款期最後一天或以前。

[#] 如欲更改供款期，請致宏利強積金成員專線 2298 9000。

第二部份：確認有關入息

(第一至第五項的選項中，請只 其中一項)

(一) 本人現附上 _____ 至 _____ 年度之評稅通知書副本以作為計算強制性供款之基礎。

或

(二) 本人之有關入息等同於按〈強制性公積金計劃條例〉所定義之最高有關入息水平。

或

(三) 本人未能提供有關入息證明，基於：

本人於 _____ 年 _____ 月 _____ 日所成立之公司，並沒有以往的評稅通知書。

本人已得到稅務局通知，不需為上年度提供報稅表。現附上有關稅務局發出之信件副本。

其他，請註明 _____

本人明白如不能提供最近之評稅通知書或其他證明，本人之有關入息則等同於按〈稅務條例〉所載之基本免稅額。

或

- (四) 本人附上最近期之評稅通知書副本，但
 本人之評稅通知書已發出超過 24 個月。

或

- 本人現正就稅務條例第 64 章反對在評稅通知書內列明之評稅或現正提出相關上訴。
及本人聲明上年度應評稅利潤為港幣 _____ 元，此數額將用作為本人之有關入息。

或

- (五) 本人以此申報聲明書或附上其他證明文件，證明本人之上年度應評稅利潤為港幣 _____ 元*。本人聲明將以此數額作為本人之有關入息。

* 在任何情況下，若此處聲明的數額與其他遞交證明的數額不同，則會以此聲明的數額為準。

第三部份：聲明

本人聲明及確信以上所提供之資料及一切隨附文件均屬完備及確實無訛。本人同意如以上資料跟參與協議所載之資料有任何偏差或不符，一切均以上述資料作實。

日期：_____ 年 _____ 月 _____ 日

自僱人士簽署

姓名：_____

僱主編號：_____

如閣下於填表時有任何疑問，請致電宏利強積金成員專線 2298 9000。

BCT use only 銀聯信託專用：	Document Received Date:	Inputted By:	Checked By:	Remarks:
		Date Inputted:	Date Checked:	

PARTIES

- (1) The person named in the Schedule to this Agreement (the “Self-Employed Person”);
- (2) Bank Consortium Trust Company Limited of 18/F Cosco Tower, 183 Queen’s Road Central, Hong Kong (the “Trustee”);
- (3) Manulife (International) Limited of 22/F, Manulife Financial Centre, 223-231 Wai Yip Street, Kwun Tong, Kowloon, Hong Kong (the “Sponsor”).

BACKGROUND

The Manulife RetireChoice (MPF) Scheme (the “Master Trust”) is a Registered Provident Fund Scheme established by a trust deed dated 14 July 2000, as may be amended from time to time, and replaced by a consolidated trust deed dated 20 March 2008 (as amended, the “Trust Deed”). The Self-Employed Person is required to contribute to a Registered Provident Fund Scheme pursuant to the MPF Ordinance and has agreed to contribute to the Master Trust on the terms of this Agreement.

AGREEMENT TO THE PARTIES

1. In consideration of the covenants, warranties, undertakings and indemnities given by the Self-Employed Person in this Agreement, the Trustee and the Sponsor agree that the Self-Employed Person shall become a Member of the Master Trust with effect from the date specified in the Schedule to this Agreement.
 - 2.1 The Self-Employed Person agrees to be bound by and covenants to comply with the provisions of the Trust Deed as from time to time in force and to perform any act or pay any sum required by law to be done or paid by it as an Self-Employed Person for the purposes of the Master Trust insofar as attributable to the Self-Employed Person to be lawfully carried on in Hong Kong.
 - 2.2 The Self-Employed Person gives the covenants as provided in Clause 10.2 of the Trust Deed and the covenants, warranties, undertaking and indemnities required by the provisions of the Trust Deed.
 - 3.1 The Self-Employed Person agrees to pay to the Master Trust, Member’s Mandatory Contributions and (if specified in the Schedule to this Agreement) Member’s Voluntary Contributions. The Self-Employed Person further agrees to pay all fees, expenses and other liabilities which are payable by the Self-Employed Person in accordance with the Trust Deed or this Agreement.
 - 3.2 Unless the Trustee otherwise agrees in writing, all payments of Contributions to the Master Trust shall be paid to the Trustee in Hong Kong dollars by cheque or by telegraphic transfer from an account with a bank in Hong Kong.
4. The Trustee shall invest amounts received in respect of the Self-Employed Person in the Constituent Funds in accordance with the Investment Mandate of the Self-Employed Person as follows:-
 - (a) Transfer Payments shall be invested in the Class T Units referred to in the MPF Scheme Brochure of the Master Trust dated October 2008;
 - (b) Contributions and other amounts (excluding Transfer Payments) to be invested in a Constituent Fund shall be invested in the class of Units specified in the Schedule to this Agreement.



5. On the execution of this Agreement by the Self-Employed Person, the Self-Employed Person shall pay to the Sponsor a joining fee of the amount specified in the Schedule to this Agreement.
6. The Trustee gives the covenants as provided in Clause 13 of the Trust Deed to the Self-Employed Person.
7. Unless the context otherwise requires, words and expressions defined in the Trust Deed have the same meanings in this Agreement.
8. This Agreement shall be governed by and construed in accordance with the laws of The Hong Kong Special Administrative Region.

Signed by the Self-Employed Person

Signature: _____

Name: _____

HKID Card No.: _____

Date: _____

Accepted and Agreed.

By The Trustee:

By The Sponsor:

Authorised Signatory

Authorised Signatory

Date

Date